



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US98/17723		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
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<b>(30) Priority Data:</b> 08/918,401                      26 August 1997 (26.08.97)                      US 08/920,610                      27 August 1997 (27.08.97)                      US PCT/US97/15219                      27 August 1997 (27.08.97)                      US 09/126,009                      29 July 1998 (29.07.98)                      US			
<b>(71) Applicant (for all designated States except US):</b> ARIAD GENE THERAPEUTICS, INC. [US/US]; 26 Landsdowne Street, Cambridge, MA 02139 (US).		<b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
<b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> NATESAN, Sridaran [US/US]; 30 Thornton Road, Chestnut Hill, MA 02167 (US). GILMAN, Michael, Z. [CA/US]; 550 Chestnut Street, Newton, MA 02168 (US).		<b>(88) Date of publication of the international search report:</b> 8 July 1999 (08.07.99)	
<b>(74) Agent:</b> BERSTEIN, David, L.; Ariad Gene Therapeutics, Inc., 26 Landsdowne Street, Cambridge, MA 02139 (US).			
<b>(54) Title:</b> FUSION PROTEINS COMPRISING A DIMERIZATION, TRIMERIZATION OR TETRAMERIZATION DOMAIN AND AN ADDITIONAL HETEROLOGOUS TRANSCRIPTION ACTIVATION, TRANSCRIPTION REPRESSION, DNA BINDING OR LIGAND BINDING DOMAIN			
<b>(57) Abstract</b>  The present invention relates to novel fusion proteins which activate transcription, to nucleic acid constructs encoding the proteins and their use in the genetic engineering of cells. Key fusion proteins of the invention contain at least two mutually heterologous domains, one of which being a bundling domain. Bundling domains include any domain that induces proteins that contain it to form multimers ("bundles") through protein-protein interactions with each other or with other proteins containing the bundling domain. Examples of bundling domains that can be used in the practice of this invention include domains such as the lac repressor tetramerization domain, the p53 tetramerization domain, a leucine zipper domain, and domains derived therefrom which retain observable bundling activity. Cells are engineered by the introduction of recombinant nucleic acids encoding the fusion proteins, and in some cases with additional nucleic acid constructs, to render them capable of ligand-dependent regulation of transcription of a target gene. Administration of the ligand to the cells then regulates (positively, or in some cases, negatively) target gene transcription.			

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EE	Estonia						

# INTERNATIONAL SEARCH REPORT

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 6 C12N15/67 C07K14/39 C07K14/035 C07K14/47 C12N15/62 A61K38/18		I. National Application No PCT/US 98/17723
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 6 C12N C07K A61K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DANG C V ET AL: "INTRACELLULAR LEUCINE ZIPPER INTERACTIONS SUGGEST C-MYC HETERO-OLIGOMERIZATION." MOL CELL BIOL 11 (2). 1991. 954-962. CODEN: MCEBD4 ISSN: 0270-7306, XP002062827	1-4,6,8, 10,28,38
Y	see the whole document  ---  -/--	5,7,9, 11-19, 29-74
<div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> Further documents are listed in the continuation of box C.         </div> <div> <input checked="" type="checkbox"/> Patent family members are listed in annex.         </div> </div>		
* Special categories of cited documents : <div style="display: flex;"> <div style="flex: 1;"> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="flex: 1;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div>		
Date of the actual completion of the international search  <div style="text-align: center; font-weight: bold;">6 May 1999</div>		Date of mailing of the international search report  <div style="text-align: center; font-weight: bold;">18.05.99</div>
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer  <div style="text-align: center; font-weight: bold;">Hix, R</div>

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/17723

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Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	HUNGER, STEPHEN P. (1) ET AL: "The proto-oncogene HLF and the related basic leucine zipper protein TEF display highly similar DNA-binding and transcriptional regulatory properties." BLOOD, (1996) VOL. 87, NO. 11, PP. 4607-4617. ISSN: 0006-4971., XP002091136	1-4,10, 13,28
Y	see the whole document	5,7,9, 11,12, 14-19, 29-74
X	QIAN, ZHENG ET AL: "Transactivation activity of Meq, a Marek's disease herpesvirus bZIP protein persistently expressed in latently infected transformed T cells." JOURNAL OF VIROLOGY, (1995) VOL. 69, NO. 7, PP. 4037-4044. ISSN: 0022-538X., XP002091137	1-4,6,8, 10,13,28
Y	see the whole document	5,7,9, 11,12, 14-19, 29-74
X	SOLLERBRANT, KERSTIN ET AL: "The DNA binding domains of the yeast Gal4 and human c-Jun transcription factors interact through the zinc-finger and bZIP motifs." NUCLEIC ACIDS RESEARCH, (1995) VOL. 23, NO. 4, PP. 588-594. ISSN: 0305-1048., XP002091138	1-4,6,8, 10,13,28
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X	HUNGER, STEPHEN P. ET AL: "DNA-binding and transcriptional regulatory properties of hepatic leukemia factor (HLF) and the t(17;19) acute lymphoblastic leukemia chimera E2A-HLF." MOLECULAR AND CELLULAR BIOLOGY, (1994) VOL. 14, NO. 9, PP. 5986-5996. ISSN: 0270-7306., XP002091139	1-4,6, 13,28
Y	see the whole document	5,7-12, 14-19, 29-74
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# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/17723

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	MOHAMED, MOHAMED K. ET AL: "The leucine zippers of c-fos and c-jun for progesterone receptor dimerization: A-dominance in the A/B heterodimer" J. STEROID BIOCHEM. MOL. BIOL. (1994), 51(5/6), 241-50 CODEN: JSBBEZ;ISSN: 0960-0760,1994, XP002091140	1-3,11, 12,28
Y	see the whole document	5,7-10, 13-19, 29-74
X	----- BUSTOS, SILVIA A. ET AL: "Functional domains of the AraC protein." PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES OF AMERICA, (1993) VOL. 90, NO. 12, PP. 5638-5642. ISSN: 0027-8424., XP002091141 see the whole document	1-3,6, 10,28
X	----- CHEVRAY P M ET AL: "PROTEIN INTERACTION CLONING IN YEAST IDENTIFICATION OF MAMMALIAN PROTEINS THAT REACT WITH THE LEUCINE ZIPPER OF JUN." PROC NATL ACAD SCI U S A, (1992) 89 (13), 5789-5793. CODEN: PNASA6. ISSN: 0027-8424., XP002091142	1-4,6, 10,13,28
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X	----- BAIM S B ET AL: "A CHIMERIC MAMMALIAN TRANSACTIVATOR BASED ON THE LAC REPRESSOR THAT IS REGULATED BY TEMPERATURE AND ISOPROPYL-BETA-D-THIOGALACTOPYRANOSIDE." PROC NATL ACAD SCI U S A, (1991) 88 (12), 5072-5076. CODEN: PNASA6. ISSN: 0027-8424., XP002091143 see the whole document	1-4,8,28
X	----- WO 94 10308 A (IMMUNEX CORP) 11 May 1994 see the whole document	1-3
X	----- MARCHETTI, ALESSANDRA ET AL: "Analysis of the Myc and Max interaction specificity with lambda repressor-HLH domain fusions." JOURNAL OF MOLECULAR BIOLOGY, (1995) VOL. 248, NO. 3, PP. 541-550. ISSN: 0022-2836., XP002091144 see the whole document	1-6
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International Application No  
PCT/US 98/17723

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>LIU, NIANKUN ET AL: "An artificial HIV enhancer-binding peptide is dimerized by the addition of a leucine zipper." EUROPEAN BIOPHYSICS JOURNAL, (1997) VOL. 25, NO. 5-6, PP. 399-403. ISSN: 0175-7571., XP002091419 see the whole document</p> <p>---</p>	1-4,6, 13,28
A	<p>KODADEK T ET AL: "The dangers of 'splicing and dicing': on the use of chimeric transcriptional activators in vitro." CHEMISTRY AND BIOLOGY, vol. 2, no. 4, April 1995, pages 187-194, XP002062828 see figures 3,5,AND,6</p> <p>---</p>	
A	<p>EMAMI, KATAYOON H. ET AL: "A synergistic increase in potency of a multimerized VP16 transcriptional activation domain" EMBO J. (1992), 11(13), 5005-12 CODEN: EMJODG;ISSN: 0261-4189,1992, XP002062829 see the whole document</p> <p>---</p>	
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A	<p>GRANGER-SCHNARR M ET AL: "TRANSFORMATION AND TRANSACTIVATION SUPPRESSOR ACTIVITY OF THE C-JUN LEUCINE ZIPPER FUSED TO A BACTERIAL REPRESSOR." PROC NATL ACAD SCI U S A, (1992) 89 (10), 4236-4239. CODEN: PNASA6. ISSN: 0027-8424., XP002091420 see the whole document</p> <p>---</p>	
Y	<p>SUZUKI-YAGAWA, YURIKO ET AL: "The ts13 mutation in the TAF-II250 subunit (CCG1) of TFIID directly affects transcription of D-type cyclin genes in cells arrested in G-1 at the nonpermissive temperature." MOLECULAR AND CELLULAR BIOLOGY, (1997) VOL. 17, NO. 6, PP. 3284-3294. ISSN: 0270-7306., XP002101917 see the whole document</p> <p>---</p>	20,21, 26-28
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# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/17723

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>LI, JUNYI ET AL: "Angiotensinogen gene activation by angiotensin II is mediated by the Rel (nuclear factor-kappa-B p65 ) transcription factor: One mechanism for the renin angiotensin system positive feedback loop in hepatocytes." MOLECULAR ENDOCRINOLOGY, (1996) VOL. 10, NO. 3, PP. 252-264. ISSN: 0888-8809., XP002101918 see page 259 - page 260 ---</p>	20,21, 26-28
A	<p>MORIN P J ET AL: "Genetic analysis of growth inhibition by GAL4-L kappa B-alpha in Saccharomyces cerevisiae." CELL GROWTH AND DIFFERENTIATION, (1995 JUL) 6 (7) 789-98. JOURNAL CODE: AYH. ISSN: 1044-9523., XP002101919 United States see the whole document ---</p>	
Y	<p>LIN, RONGTUAN ET AL: "Mutational analysis of interferon (IFN) regulatory factors 1 and 2: Effects on the induction of IFN-beta gene expression." JOURNAL OF BIOLOGICAL CHEMISTRY, (1994) VOL. 269, NO. 26, PP. 17542-17549 ISSN: 0021-9258., XP002101920 see the whole document ---</p>	20,21, 26-28
Y	<p>BLAIR, WADES S. ET AL: "Mutational Analysis of the Transcription Activation Domain of RelA: Identification of a Highly Synergistic Minimal Acidic Activation Module." MOLECULAR AND CELLULAR BIOLOGY, (1994) VOL. 14, NO. 11, PP. 7226-7234. ISSN: 0270-7306., XP002101921 see the whole document ---</p>	20,21, 26-28
Y	<p>MORIN, PATRICE J. ET AL: "GAL4-I-kappa-B-alpha and GAL4-I-kappa-B-gamma activate transcription by different mechanisms." NUCLEIC ACIDS RESEARCH, (1993) VOL. 21, NO. 9, PP. 2157-2163. ISSN: 0305-1048., XP002101922 see page 2160 --- -/--</p>	20,21, 26-28

# INTERNATIONAL SEARCH REPORT

I. International Application No  
PCT/US 98/17723

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	RUBEN S M ET AL: "FUNCTIONAL CHARACTERIZATION OF THE NF-KAPPAB P65 TRANSCRIPTIONAL ACTIVATOR AND AN ALTERNATIVELY SPLICED DERIVATIVE." MOL CELL BIOL, (1992) 12 (2), 444-454. CODEN: MCEBD4. ISSN: 0270-7306., XP002101923 see the whole document ---	20,21, 26-28
Y	SCHMITZ M L ET AL: "THE P65 SUBUNIT IS RESPONSIBLE FOR THE STRONG TRANSCRIPTION ACTIVATING POTENTIAL OF NF-KAPPA-B." EMBO (EUR MOL BIOL ORGAN) J, (1991) 10 (12), 3805-3818. CODEN: EMJODG. ISSN: 0261-4189., XP002101924 see the whole document ---	20,21, 26-28
Y	WO 97 12040 A (SANDOZ LTD ;SANDOZ AG (DE); SANDOZ AG (AT); ANRATHER JOSEF (US); B) 3 April 1997 see the whole document ---	20,21, 26-28
P,Y	GUERMAH, MOHAMED ET AL: "Involvement of TFIID and USA components in transcriptional activation of the human immunodeficiency virus promoter by NF-kappaB and Sp1." MOLECULAR AND CELLULAR BIOLOGY, (JUNE, 1998) VOL. 18, NO. 6, PP. 3234-3244. ISSN: 0270-7306., XP002101926 see the whole document -----	20,21, 26-28



# INTERNATIONAL SEARCH REPORT

International application No.  
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## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  

Although claims 53 to 56 and 63 to 67 encompass methods of treatment of the human/animal body carried out in vivo, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-19, 29-37, 39, 43-56,  
58-74 and partially claims 28, 38, 40-42, 57

A recombinant nucleic acid encoding a fusion protein containing a "bundling domain" and at least one additional domain that is heterologous thereto, fusion proteins encoded therefrom, vectors, compositions and host cells comprising said nucleic acid and a method for identifying a moiety capable of binding to a protein or protein domain comprising using the said host cells.

2. Claims: 20-27 and partially claims 28, 38, 40-42, 57

A recombinant nucleic acid encoding a fusion protein containing at least one domain derived from a p65 transcription activation domain and at least one domain which is heterologous thereto, in which the p65-derived domain contains one or more of the mutations of figure 7, and fusion proteins encoded therefrom.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/17723

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9410308 A	11-05-1994	AU 678787 B	12-06-1997
		AU 5537794 A	24-05-1994
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